|  |  |  |
| --- | --- | --- |
| **SAMPLE GRP: S1 S1A S1N S1NA S2 S4 S5** | **ZONE: A B C** | **SHENO: E** |

**HOUSEHOLD SAVINGS AND MOBILE PHONE USAGE RESEARCH PROJECT**

**ROUND 03 (FEBRUARY 2011)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ON** | Respondent’s Name(with initials) | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **GN** | Gender | 1. Male 2. Female | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **HAD1** | Home Address | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **HAD2** | Address | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **HAD3** | Address | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **HTN** | Telephone No. | **TN1** | Land |  |  |  |  | |  | |  | |  | |  | |  | |  | | **TN2** | Mobile | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
| **CN** | By what name are you commonly known in this area? ........................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **LN** | Language 1. Sinhala 2. Tamil 3. English (Mark the language in which the survey was conducted) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **EA** | Economic Activity (mark all that apply) (MA) | 1. Agricultural crops or Livestock related self employment 2. Other self employment  3. Wage work 4. Casual/temporary work 5. Not engaged in any economic activity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **IF SELF EMPLOYED:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BM** | Business Name | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BN** | Nature of Business | ...........................................................................................................................................................  (If agricultural crops, specify crop types. If livestock, specify animal types)  1. Manufacturing 2. Services 3. Retail/Sales | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BGN** | Business Ownership | 1. Male 2. Female 3. Husband and wife jointly owned 4. Other | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BAD1** | Business Address | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BAD2** | Address | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BAD3** | Address | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **BTN** | Business Tel No. | **TN1** | Land |  |  |  |  |  | |  | |  | |  | |  | |  | | **TN2** | | | Mobile | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  |
| **IF WAGE WORKER:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **WS** | Job Sector | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **WN** | Nature of Job | ........................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

**USE CODES AND NAMES**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **PR** | Province |  | **DS** | Div. Secretariat |  |
| **DI** | District |  | **GN** | GN Division Name |  |
| **TO** | Town |  | **GN** | GN Division No. |  |

**INTERVIEWER”S RECORDS**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Interviewer ‘s Name : | | | | | Number : | Gender: 1.Male 2.Female | | |
| Date and Time of the Interview. Use 24 hour system for time | | | | | | | | |
| First Interview | Date |  | Time | From: |  | | To: |  |
| Second Interview | Date |  | Time | From: |  | | To: |  |
| I certify that all the information contained in this document was obtained as accurately as possible from the respondent to questions asked according to given instructions.  .............................................................  Interviewer Signature | | | | | | | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **RECORD OF PROCESS** | | | | |
|  | Yes | Name | Signature | Date : YYYY / MM / DD |
| Field Scrutinized | 1 |  |  | ---------------------/---------/-------- |
| Qnr Checking | 1 |  |  | ---------------------/---------/-------- |
| Coding | 1 |  |  | ---------------------/---------/-------- |
| Data Entry | 1 |  |  | ---------------------/---------/-------- |
| Cleaning | 1 |  |  | ---------------------/---------/-------- |

**SKETCH OF LOCATION OF HOME / BUSINESS**

|  |
| --- |
|  |

Provide sufficient details for Supervisor to locate the place)

**SECTION 1: ECONOMIC ACTIVITY INFORMATION**

**We are interested in knowing some economic activity related information. Please give us answers to the following questions.**

**Interviewer: For those who are between two planting seasons (i.e. harvested in the last planting season and plans to grow again in the next planting season) consider as being currently engaged in agricultural activity. Also if still earning an income from the harvest of the last planting season (irrespective of whether he/she plans to plant again in the next planting season), consider as being currently engaged in agricultural activity. If not growing in the current/next planting season then consider as having stopped agricultural activity. If agricultural activity is only for consumption purposes/not income earning purposes, then consider as not engaged in agricultural self employment.**

1..1. Which of the following economic activities were you engaged in during the previous survey round(when we interviewed you in January 2011) (mark all) (M.A.)

1. Employee receiving wages / salary / in permanent employment

2. Daily paid / casual worker / in temporary employment

3. Agricultural crops or livestock related self employment

4. Other self employment

5. Not engaged in any economic activity

**Interviewer: Compare with the first page of the previous round. If not matching, get confirmation**

1.2 Are you currently engaged in any economic activity from which you earn income?

1. Yes  **Go to Qn. 1.3**

2. No

**Interviewer: If answered 2 for 1.2, check the answer of 1.1. If answered 1, 2, 3 or 4 for 1.1, ask XI-X7 of the section X**. **If answered 5 for 1.1, answer from X8-X15 of the section X**

1.3 Which of the following economic activities are you currently engaged in? (M.A)

1. Employee receiving wages / salary / in permanent employment **Ask section W**

2. Daily paid / casual worker / in temporary employment **Ask section W**

3. Agricultural crops or livestock related self employment **Ask section A**

4. Other self employment **Ask Section SE**

**Interviewer: After 1.3, Go to relevant section(s) according to the economic activity**

**SECTION X: INFORMATION ON NON-ENGAGEMENT IN ECONOMIC ACTIVITIES**

**Interviewer: Ask this Section only from those who are not engaged in any economic activity. If the respondent was engaged in any economic activity at the previous survey then ask X1 to X7. If the respondent was not engaged in any economic activity at the previous survey then ask X8 to X15.**

**For those who were engaged in economic activity at the previous survey:**

X1. What were the main two reasons you have stopped doing the economic activity (ies) you were doing during the previous survey round (i.e. when we interviewed you in January 2011)? (M A)

1. Looking for an economic activity which will generate higher income

2. Looking for an economic activity which I will enjoy more

3. Personal health issue

4. Health of someone else in the household

5. Business was making a loss

6. Needed to focus more on work around the household

7. Due to natural disasters

8. Other: (Specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

X2. Do you intend to return to an income-earning economic activity within the next month?

1. Yes  **Go to Qn. X3**

2. No  **Go to Qn. X4**

X3. Will you return to the same type of economic activity that you were doing last month?

**(Interviewer: Use classification in Qn. 1.3)**

1. Same economic activity

2. Different economic activity

X4. Are you actively looking for another income-earning economic activity?

1. Yes  **Go to Qn. X5**

2. No  **Go to Qn. X6**

X5. What kind of economic activity are you looking for? **(S A)**

1. Wage/salary work / permanent employment

2. Daily paid / casual work / temporary employment

3. Agricultural crops or livestock related self employment

4. Other self employment

5. Other (describe) \_\_\_\_\_\_\_\_\_\_\_\_\_\_

X6. How much time do you expect it to take for you to find such employment? (S A)

1. A week or less

2. More than a week, less than a month

3. More than one month, less than 3 months

4. More than 3 months

5. Do not intend to be employed  **See the instructions after question X.7**

X7. In which sector are you looking for work?

a. Description: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. Industry Code (ISIC): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(**Interviewer:** Get description of job and sector. Record ISIC code.)

**For those who completed X1 to X7, ask W, A, and SE sections**

**For those who were NOT engaged in economic activity at the previous survey:**

X8. Have you taken any steps to look for wage work after we interviewed you in January 2011

1. Yes  **Go to Qn. X.9**

2. No  **Go to Qn. X.12**

X9. If yes, which of the following have you done?

|  |  |  |
| --- | --- | --- |
|  | 1. Yes | 2. No |
| 1. I have formally applied for a job with a business firm | 1 | 2 |
| 2. I have formally faced for an interview for a job with a business firm | 1 | 2 |
| 3. I have asked neighbors and friends if they know of business firms with vacancies |  |  |
| 4. Other(specify)………………………………………………. | 1 | 2 |

X10. How much time do you expect it to take for you to find employment?

1. A week or less

2. More than a week, less than a month

3. More than one month, less than 3 months

4. More than 3 months

5. I have decided not to seek employment in the next 12 months  **Go to Qn. X12**

X11. In which sector are you looking for work?

a. Description: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. Industry Code (ISIC) : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

X12. Have you taken any steps to start any agricultural crops or livestock related self employment activity after we interviewed you in January 2011?

1. Yes  **Go to Qn. X.13**

2. No  **Go to Qn. X.14**

X13. If yes, which of the following steps have you taken to start such self employment activity?

1. Yes 2. No

|  |  |  |
| --- | --- | --- |
| a. I have decided on a crop that I want to produce or animal that I want to raise | 1 | 2 |
| If yes, indicate the crop or animal……………………………………… |  |  |
| b. I have identified land on which to begin crop production | 1 | 2 |
| c. I have talked with my family about the activity | 1 | 2 |
| d. I have purchased seed or other inputs needed for the activity | 1 | 2 |
| e. I have talked with potential suppliers of equipment or other inputs for the business | 1 | 2 |
| f. I have applied for a loan to start this activity | 1 | 2 |
| g. Other (specify) ……………………………………………………………. | 1 | 2 |

X.14. Have you taken any steps to start any other self employment activity after we interviewed you in January 2011?

1. Yes  **Go to Qn. X.15**

2. No  **Go to Section 8**

X15. If yes, which of the following steps have you taken to start any other self employment activity?

1. Yes 2. No

|  |  |  |
| --- | --- | --- |
| a. I have decided on a product or service that I would like to produce or sell | 1 | 2 |
| If yes, indicate the product or service……………………………………… |  |  |
| b. I have looked for or decided on a location to operate this new business | 1 | 2 |
| c. I have talked to people in the neighborhood to gauge the demand for this new business | 1 | 2 |
| d. I have worked out how much money I would need to start this new business | 1 | 2 |
| e. I have taken a training course to get skills for this new line of business | 1 | 2 |
| f. I have talked with potential suppliers of equipment or other inputs for the business | 1 | 2 |
| g. I have applied for a loan to start this new business | 1 | 2 |
| h. I have decided that a business idea that I had will not be a viable business | 1 | 2 |
| i. Other (specify) ……………………………………………………………. | 1 | 2 |

**Go to Section 8**

**SECTION W: WAGE WORK**

**Interviewer: Ask this section only if the respondent is engaged in any wage work or casual work. (i.e. answered 1 or 2 to Qn. 1.3). Ask this section from those who engaged in wage work in the previous interview (i.e answered 1 or 2 for 1.1), but currently stay out of wage work as well**

I would like to get some information about changes that have taken place in your wage/casual work after we interviewed you in January 2011. When we talked to you in January 2011, you have been recorded as someone who is working as \_\_\_\_\_\_\_\_\_\_\_\_\_ in \_\_\_\_\_\_\_\_\_\_\_ sector. (**Interviewer: Mention the nature of job and job sector from the cover page of the previous survey**). (consider starting a new work or stopping previous work as a change of the work)

W1. Have you changed wage jobs or the type of casual labor you were doing in the last month since we talked with you in January 2011? (Including starting a new wage/casual job)

1. Yes

2. No  **Go to W13**

W2. If yes, could you describe the kind of **industry/sector** that you are newly employed in?

a. Description: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. Industry Code(ISIC): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**(Interviewer:** Get description of job sector. **Record ISIC code.)**

W3. Could you describe the nature of job/kind of occupation that you are engaged in?

a. Description: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b. Occupation Code (ISOC): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**(Interviewer:** Get description of occupation. Record **Job code** for post)

W4. Why did you switch/change the occupation since we surveyed you last month? (M.A)

1. I found a job that had higher earnings

2. I found a job that was more enjoyable

3. I found a job that was more convenient (e.g., closer to home)

4. I was made redundant at my old job

5. Temporary loss of earnings from my old job/self employment

6. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

W5. Please tell me which kind of institution you work in?

1. Government institution

2. Private sector institution

3. Non governmental organization

4. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

W6. What is this firm’s current legal status or ownership?

1. Sole proprietorship

2. Partnership

3. Private limited liability company

4. Public limited liability company

5. Govt. company

6. State Corporations and Statutory Bodies

7. Government dept / ministry / central or provincial govt. institution

8. Co-operative establishment

9. Clubs and Associations

10. Other (specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_

11. Don’t know/Can’t say/reject

W6a. Does the job operate in a fixed place or is it in a flexible location (mobile business)

1. Fixed location/same place  **Go to Qn. W7**

2. Flexible location/mobile business  **Go to Qn. W13**

W7. How many people work in your firm of employment? (Including you, owner of the firm and all paid and unpaid permanent and casual workers)

1. 4 or less than 4 workers

2. 5-9 workers

3. 10-25 workers

4. 26-250 workers

5. More than 250

W8. How far is your workplace located from home?

1. Within 500 meters of your home

2. 500 meters – 1 km distance from home

3. In the same G.N. but more than 1 km from home

4. In the same D.S. but different G.N. (more than 1 km)

5. In the same district but different D.S. (more than 1 km)

6. In the same province but different district (more than 1 km)

7. Different province (more than 1 km)

W.9 Which kind of local authority type does your place of work belong to?

1. Municipal Council

2. Urban Council

3. Pradeshiya Sabha

4. Don’t know/Can’t say

**Interviewer: If answer to W6 is 1 or 2 or 3, then ask W10; if not, skip to W12**

W10. Is the owner of the business a relative of yours?

1. Yes  **Go to Qn. W11**

2. No  **Go to Qn. W12**

W11. If yes, is the owner:

1. Parent

2. Sibling

3. Parent or sibling by marriage (eg. father in-law, mother in-law, brother in –law)

4. Other relative

W12. When did you begin working in this place of work in this occupation?

D. Day \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ M. Month: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

W13. How frequently do you get your wage/salary?

1. Daily

2. Weekly

3. Every two weeks

4. Monthly

5. On commission basis only

6. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

W14. Are you a permanent, temporary or contract basis employee?

1. Permanent employment / written contract, for indefinite time

2. Contract employment / written contract, for fixed time

3. Temporary worker / non-written contract

4. No contract at all

5. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

W15. Have you been registered for Employee Provident Fund/Employees Trust Fund (EPF/ETF) or Widows and Orphans Fund?

1. Yes

2. No

W16. How many hours a week do you work in your main job?

|  |  |
| --- | --- |
| 1. Hours last week ( Days\*hours) |  |
| 1. Hours in a normal week ( Days\*hours) |  |

W17. How many days a week do you work in your main job?

|  |  |
| --- | --- |
| 1. Days last week |  |
| 1. Days in a normal week |  |

W18. How much did you earn in this job as basic salary in January 2011? (Include only basic salary without over times, bonuses, commissions etc) Rs: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

W19. In addition to this basic payment, how much did you receive in the form of overtime, food, bonuses, travel, commissions or other payments from your wage job in January 2011? Rs: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

W20. In addition to this job, do you have another wage job?

1. Yes  **Go to Qn. W21**

2. No  **Go to next Section**

W21. How many hours per week do you normally work in this other wage job? Hrs: \_\_\_\_\_\_\_\_\_\_\_

W22. How much do you normally earn per week in this other wage job? Rs : \_\_\_\_\_\_\_\_\_\_\_\_

**SECTION A: AGRICULTURAL AND LIVESTOCK ACTIVITY**

**Interviewer: Ask this section from those who are engaged in agricultural crop production or livestock related self employment (i.e. answered 3 to Qn. 1.3). Ask this section from those who engaged in agricultural crop production or livestock related self employment in the previous interview (i.e answered 3 for 1.1), but currently stay out that activity as well.**

A.1 Since we surveyed you January 2011, have you made any changes in land used for agricultural crops production related self employment activity? (eg. using a new land for agricultural activity, selling of land previously used for agricultural activity etc).

1. Yes

2. No

**Agricultural Crop Self Employment Activities:** Specify all crops engaged in as self employment activity during January 2011. Skip any crops used only for consumption.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Name of crop | A.2 Were you engaged in this type of crop in January 2011?  1. Yes 2.No  **(If No, go to next row)** | A.3 Name of specific crop (up to 3 main crops) | A.4 in January 2011, how much of this crop did you harvest? | | A.5 in January 2011, how much of this crop did you sell? | | | A.6 in January 2011, did you plant any of this crop?  1. Yes 2. No |
| Units | Quantity | Unit | Quantity | Rs. |
| 1. Rice (paddy) | 1 2 |  |  |  |  |  |  | 1 2 |
| 2. Cereals (e.g. Corn) | 1 2 |  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
| 3. Vegetables | 1 2 |  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
| 4. Fruits | 1 2 |  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
| 5. Tea, Coffee | 1 2 |  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
| 6. Coconuts | 1 2 |  |  |  |  |  |  | 1 2 |
| 7. Rubber | 1 2 |  |  |  |  |  |  | 1 2 |
| 8. Spices |  |  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
| 9. Other crops | 1 2 |  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |
|  |  |  |  |  |  | 1 2 |

**Interviewer:** If response is 2 for all rows in A.2 then skip to A.9

A.7. Now think about the expenses associated with the agricultural crop production we have just discussed. During January 2011, please tell me what were the following expenses for each category of crop.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Type of Crop | a. Hired labour | b. Seeds | c. Fertilizer and manure | d. Chemicals (e.g. pesticides) | e. Transport / tractor | f. Water | g. Hire of equipment / animals | h. Other | i. Total Expenses |
|  | Rs. | Rs. | Rs. | Rs. | Rs. | Rs. | Rs. | Rs. | Rs. |
| 1. Rice |  |  |  |  |  |  |  |  |  |
| 2. Cereals |  |  |  |  |  |  |  |  |  |
| 3. Vegetables |  |  |  |  |  |  |  |  |  |
| 4. Fruits |  |  |  |  |  |  |  |  |  |
| 5. Tea, Coffee |  |  |  |  |  |  |  |  |  |
| 6. Coconuts |  |  |  |  |  |  |  |  |  |
| 7. Rubber |  |  |  |  |  |  |  |  |  |
| 8. Spices |  |  |  |  |  |  |  |  |  |
| 9. Other |  |  |  |  |  |  |  |  |  |

A.8 In January 2011, how much income/profit did the household earn, after paying all expenses, from these agricultural crop production self employment activities? Rs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Livestock Self Employment Activity:** Now I would like to ask you about livestock related self employment activity undertaken in January 2010. Skip any livestock maintained only for consumption.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Type of livestock | A.9 Were you engaged in this type of livestock self employment activity in January 2011?  1. Yes 2. No  **(If No, go to next row)** | A.10. In January 2011, did you sell any animals of this type? (If so, state qty. and value) | | A.11 January 2011, did you purchase any animals of this type? (If so, state qty. and value) | | A.12 In January 2011, did you receive or dispose of any animals of this type through other means without purchasing or selling (eg. gifts) ? (If so, state qty. and value) | | | | A.13 In January 2011, how many births or deaths of this animal type took place? | | A.14. In January 2011, did you sell any product or service from this animal type? (eg. milk, eggs, hiring of animals)  1. Yes 2.No  **(If No, go to next row)** | A.15 How much did you earn from selling this product or service in January 2011  Rs. |
| Qty | Rs. | Qty | Rs. | Rcvd. Qty. | Rs. | Disposed Qty. | Rs | Births | Deaths |
| 1.Cattle | 1 2 |  |  |  |  |  |  |  |  |  |  | 1 2 |  |
| 2.Buffalo | 1 2 |  |  |  |  |  |  |  |  |  |  | 1 2 |  |
| 3.Swine | 1 2 |  |  |  |  |  |  |  |  |  |  | 1 2 |  |
| 4.Goats | 1 2 |  |  |  |  |  |  |  |  |  |  | 1 2 |  |
| 5.Chicken | 1 2 |  |  |  |  |  |  |  |  |  |  | 1 2 |  |
| 6.Other (Specify: \_\_\_\_\_\_\_) | 1 2 |  |  |  |  |  |  |  |  |  |  | 1 2 |  |

**Interviewer:** If response is 2 for all rows in A.9 then skip to A.18

A.16. I would like to ask you about expenses associated with maintaining livestock owned by you. In January 2011, how much have you spent on each of the following items?

|  |  |
| --- | --- |
| Expenditure Item | Expense (Rs) |
| 1. Hired labor |  |
| 2. Animal Feed |  |
| 3. Veterinary services |  |
| 4. Other expenses |  |
| 5. Total Expenses |  |

A.17. During January 2011, how much income/profit did you earn, after paying all expenses, from these livestock related self employment activities? Rs \_\_\_\_\_\_\_\_\_\_\_\_

A.18. After we interviewed you in January 2011, did you make any investment/expenditure in purchasing/selling machinery, tools, or other equipment used in production of these crops or maintenance of the livestock?

1. Yes

2. No **Go to A.20**

A.19. If yes, please tell me the approximate value of your purchases/sales after we interviewed you in January 2011 in each of the following crops or maintenance of the livestock categories.

|  |  |  |
| --- | --- | --- |
| Item | (a) Expenses for purchases | (b) Income from sales |
| 1. Tools and utensils (Spades, rakes, etc.) |  |  |
| 2. Heavy Machinery and Equipment (eg.Tsunami machines, tractors) |  |  |
| 3. Light Machinery and Equipment (eg. pumps) |  |  |
| 4. Vehicles used in the business |  |  |
| 5. Site (including land and buildings used for storage) – not already included in Section 2 |  |  |
| 6. Investment in wells and other water sources |  |  |
| 7.Other physical assets (excluding inventories) |  |  |
| 8. Total value of purchases |  |  |

A.20. Do you currently have any stock of raw materials used in this agricultural crop production or livestock related business? (e.g., fertilizers, seeds, animal feed)?

1. Yes  **Go to Qn. A.21**

2. No  **Go to Qn. A.22**

A.21. If yes, at market prices what is the value of these inputs? Rs \_\_\_\_\_\_\_\_\_\_\_\_

A.22. Do you currently have any stock of output from this agricultural crop production or livestock related business which is not yet sold? (Do not include the value of unharvested crops or live animals).

1. Yes  **Go to Qn. A.22a**

2. No  **Go to next Section**

A.22a. If yes, at market prices what is the value of these outputs? Rs \_\_\_\_\_\_\_\_\_\_\_\_

**SECTION SE: OTHER SELF EMPLOYMENT ACTIVITY RELATED INFORMATION**

**Interviewer: Ask this section only if the respondent is engaged in any other (non agricultural crops production / non livestock) self employment activity. (i.e. answered 4 to Qn. 1.3). Ask this section from those who engaged in agricultural crop production or livestock related self employment in the previous interview (i.e answered 4 for 1.1), but currently stay out that activity as well.**

**Other self employment activity related information**

I would like to know about any changes took place in yourself employment activity in the previous month. When we talked with you in January 2011, you have been recorded as someone who is working as \_\_\_\_\_\_\_\_\_\_\_\_\_ in \_\_\_\_\_\_\_\_\_\_\_ sector. (**Interviewer:** Mention the nature of business from the cover page of the previous survey)

SE.1. Since we surveyed you in January 2011, did you start any new self employment activities outside of crop and livestock production?

1. Yes

2. No

**Interviewer: If not started, ask questions from SE.2 onwards in relevance to the previously engaged self employment activity. If started other self employment, ask NSE section .If started new self employment activity with the previous self employment activity, ask SE.2 onwards for the previous self employment and ask section NSE for new self employment activity. Ask from SE.7 onwards for previous self employment from those who have stopped the previous employment**

I would like to know some information about the other (non agricultural crop production/non livestock) self employment activity that you previously engaged in.

SE.2. How many hours a week do you personally spend working in the business?

|  |  |
| --- | --- |
| 1. Hours last week (Days\* hours) |  |
| 1. Hours in a normal week |  |

SE.3 What type of accounts do you keep for income, expenses, assets etc. of your business?

1. Through formal accounting (using the services of a professional within the firm)

2. Through formal accounting (using the services of a professional outside the firm. eg. book keeping)

3. Personal record keeping or other records

4. Does not do any accounting

5. Other: (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SE.4. How many total employees work in your enterprise? (Including yourself and all paid and unpaid permanent /temporary workers)

SE.5 Tell me how many of the following types of workers currently you have in your business **(Do not count yourself in any of these categories)**

|  |  |
| --- | --- |
| 1. Wage or Salaried Workers-Permanent |  |
| 2. Casual or Daily paid Workers/Temporary |  |
| 3. Partners |  |
| 4. Unpaid workers (eg. unpaid family members/apprentices) |  |
| 5. Total number of workers (sum of 1-4) |  |

**(*Interviewer: Ask each category separately. Examine 1-4 total )***

999. Don’t know/ can’t say/ refused to answer

SE.6. Is your business located in the same place as last month?

1. Yes **Go to SE.7**
2. No

SE.6a. Is your business and home both situated at the same location? If not is it situated in another location?

1. In the household

2. Business is in a separate building but in the same land of the owner’s household

3. Business is in a different location but in the same GN division

4. Business is located in a different GN division but in the same DS division

5. Business is located in the same DS division but in the same district

6. Business is located in a different but neighbouring district

7. Business is located in a different non-neighbouring district

8. Other (Specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

SE.6b What is the type of site where your business operates? **(SA)**

1. Improvised site on public spaces

2. Permanent or semi-permanent site on public spaces

3. Improvised or travelling site in a marketplace

4. Site that is part of a permanent market

5. Traveling door to door or on the street

6. Merchandise, prepared food or services offered on motorcycle, bicycle, vehicle, truck etc.

7. In transporting people or merchandise without a site: three wheeler, bus, truck, etc

8. At customer’s residence

9. At your residence without special installations

10. At your residence with special installations

11. Commercial site (grocery, hardware, etc.)

12. Service site (dry cleaning, restaurant, office, etc.)

13. Production shop (bakery, printing, etc.)

14. Repair service shop (mechanic, electrician, etc.)

15. Guest house, hotel, inn, etc

16. Transporting people or merchandise with site

17. Other type of site: (specify)...........................................................

**If answer for SE.6b is 1-10 , then go to Qn. SE.6c; if answer is 11-16, then go to Qn. SE.6d. If answer is 17 and is relevant, ask SE.6c**

SE.6c Please indicate whether your business operates in a permanent place or in a flexible location (mobile business)

1. Fixed location/same place **Go to Qn. SE.6d**

2. Flexible locations/mobile business **Go to Qn. SE.7**

SE.6d Is this site your own property/ rented/ borrowed? (SA)

1. Your own property

2. Rented/Leased

3. Borrowed

4. Other (specify).........................

**Assets, Expenses, Income and Profits**

I would like to know some information about assets, income and expenses and profits of your other (non agricultural crop production/non livestock) self employment activity.

SE.7 Now I am going to ask you about the purchases, sales and other changes after we previously interviewed you in January 2011 in the utensils, tools, machinery and equipment, vehicles and other property currently used in your business or activity. Please tell me the approximate value of your purchase and sales in each of the following categories.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Item | a. Purchases during the past month  (Rs.) | b. Sales during the past month  (Rs.) | c. Other acquisition (e.g., gifts)  (Rs.) | d. Other disposal (e.g., loss, damage)  (Rs.) | e. Newly rented assets (Monthly rental value)  (Rs.) |
| 1. Tools and utensils |  |  |  |  |  |
| 1. Machinery and Equipment (eg. gas cookers, fridges) |  |  |  |  |  |
| 1. Furniture |  |  |  |  |  |
| 1. Vehicles used in the business |  |  |  |  |  |
| 1. Site (including land and buildings) |  |  |  |  |  |
| 1. Other physical assets (excluding inventories) |  |  |  |  |  |
| 1. Total value of assets and rents (1-6 items) in business |  |  |  |  |  |

SE.8. Do you have any inventories in stock, products for sale, raw materials, products in production, spare parts, or other such materials currently held at your business?

1. Yes  **Go to Qn. SE.8a**

2. No  **Go to Qn. SE.9**

SE.8a. At market prices, what is the value you calculate of your current inventories? Rs : \_\_\_\_\_\_\_\_\_\_

SE.9 **Business Expenses During the last month**

Please report the amount you have spent on each of the following categories of business expenses during the last month (i.e. January 2011)

**(Interviewer: include only business and not household expenses; do not include wages the owner pays himself as an expense)**

|  |  |
| --- | --- |
| **Item** | **Cost (Rs.)** |
| 1. Purchase of materials and items for resale |  |
| 1. Purchase of electricity, water, gas and fuel |  |
| 1. Installments paid on loans |  |
| 1. Wages and salaries for employees |  |
| 1. Rent for land or buildings |  |
| 1. Taxes |  |
| 1. Other expenses (including equipment rental, telephone, transportation) |  |
| 1. Total expenses in January 2011 |  |

SE.10 Can you tell me the total monthly sales of your business in the last month from all sources, including manufacturing, trade and services? Rs: \_\_\_\_\_\_\_\_\_\_\_\_

SE.11 What was the total income the business earned in the previous month (i.e January 2011) after paying all expenses including wages of employees, but not including any income you paid yourself. That is, what were the profits of your business during January 2011?**(Note: If you paid yourself a salary, add that back into your profits.)**

Rs \_\_\_\_\_\_\_\_\_\_\_\_\_

**SECTION NSE: NEW OTHER SELF EMPLOYMENT ACTIVITY RELATED INFORMATION**

**Interviewer: Ask this section from those who have started other self employment in addition to agricultural crop production or livestock self employment (i.e. answered 1 for SE.1) after January 2011 .If started new self employment activity with the previous self employment activity, mention that in the section SE in relevance to previous self employment and ask section NSE only for the new self employment activity**

**NSE.1** What is the nature of your business or what does your activity consist of?

1. Description : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2. Description of ISIC   : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Interviewer:** Describe the sector of the business and note down the sector of the activities and use codes

**NSE.2** How many hours a week do you personally spend working in the business ?

|  |  |
| --- | --- |
| 1. Hours last week (Days\* hours) |  |
| 1. Hours in a normal week (Days\* hours) |  |

**NSE.3**. Is your business and home both situated at the same location? If not is it situated in another location?

1. In the household

2. Business is in a separate building but in the same land of the owner’s household

3. Business is in a different location but in the same GN division

4. Business is located in a different GN division but in the same DS division

5. Business is located in the same DS division but in the same district

6. Business is located in a different but neighbouring district

7. Business is located in a different non-neighbouring district

8. Other (Specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)

**NSE.4** What is the type of site where your business operates? **(SA)**

1. Improvised site on public spaces

2. Permanent or semi-permanent site on public spaces

3. Improvised or travelling site in a marketplace

4. Site that is part of a permanent market

5. Traveling door to door or on the street

6. Merchandise, prepared food or services offered on motorcycle, bicycle, vehicle, truck etc.

7. In transporting people or merchandise without a site: three wheeler, bus, truck, etc

8. At customer’s residence

9. At your residence without special installations

10. At your residence with special installations

11. Commercial site (grocery, hardware, etc.)

12. Service site (dry cleaning, restaurant, office, etc.)

13. Production shop (bakery, printing, etc.)

14. Repair service shop (mechanic, electrician, etc.)

15. Guest house, hotel, inn, etc

16. Transporting people or merchandise with site

17. Other type of site: (specify)...........................................................

**Interviewer**: If answered **1-10** for **NSE.4**, go to **NSE.4a.** If answered **11-16**, go to **NSE.5**. If answered 17**, and only if relevant, go to NSE.4a**

**NSE.4a.** Please indicate whether your business operates in a permanent place or in a flexible location (mobile business)

1. Fixed location/same place **Go to Qn. NSE.5**

2. Flexible locations/mobile business **Go to Qn. NSE.6**

**NSE.5** Is this site your own property/ rented/ borrowed? (SA)

1. Your own property

2. Rented/Leased

3. Borrowed

4. Other (specify).........................

**NSE.6** Did you start the business from scratch or was it inherited or purchased from your parents or someone else?

1. I started the business from scratch **Go to NSE.9**

2. I purchased or inherited the business **Go to NSE.7**

**NSE.7** If you did not start the business from scratch, was it:

1. Inherited from your parents?

2. Inherited from another family member?

3. Owned from marriage

4. Purchased from a family member?

5. Purchased from someone outside the family?

6. Other (Specify \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**NSE.8** When did you undertake/ accept/ take over the activities/ ownership/ management of thisbusiness? **(If don’t know mention it)**

m. Month:\_\_\_\_\_\_\_\_\_\_\_\_ y. Year: \_\_\_\_\_\_\_\_\_\_\_ 99. Don’t know

**NSE.9** When did this business begin operations? **(If don’t know mention it)**

m. Month:\_\_\_\_\_\_\_\_\_\_\_\_ y. Year: \_\_\_\_\_\_\_\_\_\_\_ 99. Don’t know

**NSE.10**.Now I am going to ask you about the characteristics of any utensils, tools, machinery and equipment, vehicles and other property currently used in your business or activity. Please tell me the approximate value of your assets in each of the following categories. Think of the value as how much it would cost you to replace the assets with ones in similar condition

|  |  |  |
| --- | --- | --- |
| Item | (a). Value if owned (Rs.) | (b). Monthly rental value if rented (Rs.) |
| 1. Tools and utensils (eg. Instruments, containers) |  |  |
| 1. Machinery and Equipment (eg. gas cookers, ovens fridges) |  |  |
| 1. Furniture |  |  |
| 1. Vehicles used in the business |  |  |
| 1. Site (including land and buildings) |  |  |
| 1. Other physical assets (excluding inventories) |  |  |
| 1. Total value of assets and rents (1-6 items) in business |  |  |

**NSE.11.** Do you have any inventories in stock, products for sale, raw materials, products in production, spare parts, or other such materials currently held at your business?

1. Yes  **Go to Qn. NSE.11a**

2. No **Go to next Section**

**NSE.11a.** At market prices, what is the value you calculate of your current inventories? Rs: \_\_\_\_\_\_\_\_\_\_

**SECTION 8: INFORMAL FINANCIAL TRANSACTIONS**

**Interviewer: Ask this section from all respondents.**

8.1 During the past month (i.e. in January 2011), please tell me if you have given out loans or made any gifts to any of the following: **(Interviewer: Answer only if the loans or gifts were made to individuals living outside the household; Include gifts / loans in cash or in goods.)**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Person | (a).Have you given a loan?  1. Yes 2. No  **(if No, go to c)** | (b) Amount of loan (Rs.) | (c) Have you given a gift?  1. Yes 2. No  **(if No, go to next row)** | (d) Value of gifts (Rs.) |
| 1. Your parents | 1 2 |  | 1 2 |  |
| 2. Your spouse’s parents | 1 2 |  | 1 2 |  |
| 3. Your siblings | 1 2 |  | 1 2 |  |
| 4. Your spouse’s siblings | 1 2 |  | 1 2 |  |
| 5. Your adult children | 1 2 |  | 1 2 |  |
| 6. Your grandparents | 1 2 |  | 1 2 |  |
| 7. Other relatives | 1 2 |  | 1 2 |  |
| 8. Friends | 1 2 |  | 1 2 |  |
| 9. Neighbours | 1 2 |  | 1 2 |  |
| 10. Fellow Seetu members | 1 2 |  | 1 2 |  |
| 11. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 1 2 |  | 1 2 |  |
| Total |  |  |  |  |

**Interviewer: If not given out any loans, then skip to Qn. 8.3**

8.2 Of the loans youhave **given** over the past month (regardless of whether they have been repaid or not), could you please provide information on the four largest loans?

|  |  |  |  |
| --- | --- | --- | --- |
| a. Person **(see codes)** | b. Amount (Rs) | c. Purpose | d. Amount Repaid |
| 1. |  |  |  |
| 2. |  |  |  |
| 3. |  |  |  |
| 4. |  |  |  |

**Recipient Codes:** 1=parents; 2=spouse’s parents; 3=siblings; 4=spouse’s siblings; 5=adult children; 6=grandparents; 7=other relatives; 8=friends; 9=neighbours; 10=fellow seetu members; 11=other

**If no gifts were made, go to 8.4**

8.3. Of the gifts you have made over the past month (in goods or in money), could you please provide information on the four largest gifts?

|  |  |  |
| --- | --- | --- |
| a. Person you made the gift to **(see codes)** | (b). Amount (Rs) | (c). Purpose |
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |

**Recipient Codes:** 1=parents; 2=spouse’s parents; 3=siblings; 4=spouse’s siblings; 5=adult children; 6=grandparents; 7=other relatives; 8=friends; 9=neighbours; 10=fellow seetu members; 11=other

8.4 During the past month (i.e. January 2011), please tell me if you have taken out loans or received any gifts from any of the following:

**Interviewer:** Answer only if the loans or gifts were received from individuals living outside the household; Include gifts / loans in cash or in goods.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Person | (a) Have you received a loan?  1. Yes 2. No  **(if No, go to c)** | (b) Amount of loan (Rs.) | (c) Have you received a gift?  1. Yes 2. No  **(if No, go to next row)** | (d) Amount of gift (Rs.) |
| 1. Your parents | 1 2 |  | 1 2 |  |
| 2. Your spouse’s parents | 1 2 |  | 1 2 |  |
| 3. Your siblings | 1 2 |  | 1 2 |  |
| 4. Your spouse’s siblings | 1 2 |  | 1 2 |  |
| 5. Your adult children | 1 2 |  | 1 2 |  |
| 6. Your grandparents | 1 2 |  | 1 2 |  |
| 7. Other relatives | 1 2 |  | 1 2 |  |
| 8. Friends | 1 2 |  | 1 2 |  |
| 9. Neighbours | 1 2 |  | 1 2 |  |
| 10. Fellow Seetu members | 1 2 |  | 1 2 |  |
| 11. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 1 2 |  | 1 2 |  |
| 12. Total |  |  |  |  |

**Interviewer: If not received any loans, skip to Qn. 8.6**

8.5 Of the loans you have **received** over the past month (regardless of whether they have been repaid or not), could you please provide information on the four largest loans?

|  |  |  |  |
| --- | --- | --- | --- |
| a. Person **(see codes)** | (b). Amount (Rs) | (c). Purpose | (d). Amount Repaid |
| 1. |  |  |  |
| 2. |  |  |  |
| 3. |  |  |  |
| 4. |  |  |  |

**Financier Codes:** 1=parents; 2=spouse’s parents; 3=siblings; 4=spouse’s siblings; 5=adult children; 6=grandparents; 7=other relatives; 8=friends; 9=neighbours; 10=fellow seetu members; 11=other

**If not received any gifts, then skip to Qn. 8.7**

8.6 Of the gifts you have **received** over the past month (regardless of whether they have been repaid or not), could you please provide information on the four largest gifts?

|  |  |  |
| --- | --- | --- |
| (a). Person (see codes) | (b). Amount (Rs) | (c). Purpose |
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |

**Donor Codes:** 1=parents; 2=spouse’s parents; 3=siblings; 4=spouse’s siblings; 5=adult children; 6=grandparents; 7=other relatives; 8=friends; 9=neighbours; 10=fellow seetu members; 11=other

8.7. Did you participate in any seetus in the previous month (i.e. January 2011)?

1. Yes  **Go to Qn. 8.8**

2. No  **Go to next Section**

8.8. How many seetus did you participate in in the previous month (i.e. January 2011)? **\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

8.9. We would like to get some information about the seetus that you participated in i.e. January 2011. Lets start with the seetu into which you contributed the most amount (consider only the three main seetus)

|  |  |  |
| --- | --- | --- |
| Seetu | **8.9a.** During the past month (i.e. January 2011), how much did you contribute to this seetu? (if contributed in goods, give the value) | **8.9b.** During the past month (i.e. January 2011) how much did you receive in payments from this seetu? (if received in goods, give the value) |
| 1. Highest amount seetu |  |  |
| 2. Second highest seetu |  |  |
| 3. Third highest seetu |  |  |

8.10 If you received a payment from a seetu that you participate in in the past month, was the payment made in cash or in goods:

1. Cash **Go to 8.11**

2. Goods **Go to 8.12**

3. Both cash and good **Go to 8.11**

4 Did not get anything **Go to next section**

8.11 If you received cash from the seetu, how did you use the proceeds?

|  |  |
| --- | --- |
| Item | Expenditure (Rs) |
| 1. still held as cash |  |
| 2. deposited in bank account |  |
| 3. Spent on durable goods for household |  |
| 4. Spent on improvement to household (e.g., flooring) |  |
| 5. Spent on consumption |  |
| 6. Used to repay loan |  |
| 7. Invested in gold jewelry |  |
| 8. Invested in working capital (inventories) for business or farm |  |
| 9. Spent on machines or equipments for business or farm |  |
| 10. Expenditure on weddings / funerals and other such family events |  |
| 11. spent for trips and picnics |  |
| 12. Other (specify) \_\_\_\_\_\_\_\_\_\_\_ |  |
| 13. Total |  |

**Interviewer: If received only cash from the seetu, (i.e answered 1 for 8.10), go to next section. If received goods (i.e. answered 2 or 3 for 8.10), go to 8.12**

8.12 If you received goods, what type of goods were they?

|  |  |
| --- | --- |
| Item | Value (Rs) |
| 1. Durables for the household |  |
| 2. Working capital (inventories and raw materials) for the business |  |
| 3. Equipment, machinery for the business |  |
| 4. Consumption goods |  |
| 5. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |
| 6. Total |  |

**SECTION 9: FINANCIAL HISTORY**

**Interviewer: Ask this Section from all respondents.**

9.1 During the past month, (i.e. January 2011) have you made any deposits or withdrawals from any financial institution?

1. Yes

2. No **Go to 9.2**

9.1a. With which of the following did you have a transaction within the past month of January 2010?

|  |  |  |
| --- | --- | --- |
| Financial institution | (a). Deposit or withdrawal?  1. Deposit  2. Withdrawal  3. Both  4. Did not any transaction **(if 4, go to next row)** | (b) How many transactions did you make during the past month? |
|
| 1. Private Bank (eg. Sampath, Seylan) | 1 2 3 4 |  |
| 2. Govt Bank (eg. Peoples, BOC) | 1 2 3 4 |  |
| 3. Microfinance organization (eg.SEEDS, Cooperative Bank) | 1 2 3 4 |  |
| 4. Development Banks (eg. RDB) | 1 2 3 4 |  |
| 5. National Savings Bank (NSB) | 1 2 3 4 |  |
| 6. Samurdhi Bank | 1 2 3 4 |  |
| 7. Sanasa Bank | 1 2 3 4 |  |
| 8. Development project such as IDRP/ REAP/ ABG | 1 2 3 4 |  |
| 9. Farmers’ organization | 1 2 3 4 |  |
| 10. Other (specify) ............... | 1 2 3 4 |  |

9.2 Please tell me about cash flows from informal savings products/methods that you have used in the past month (i.e. January 2011)?

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Product/Method | (a). Received funds?  1. Yes 2. No  **If No go to (c)** | (b). Amount received  (Rs) | (c). Saved/gave out funds  1. Yes 2. No  **If No, go to next rowe out fundsy drawsRs. 300, if numbers 6-10 draw out ll amount.** | (d). Amount spent  (Rs) |
|  | 1 2 |  | 1 2 |  |
| 1. Seetu 1 (RoSCA) | 1 2 |  | 1 2 |  |
| 2. Seetu 2 (RoSCA) | 1 2 |  | 1 2 |  |
| 3. Seetu 3 (RoSCA) | 1 2 |  | 1 2 |  |
| 4. Gold Jewellery | 1 2 |  | 1 2 |  |
| 5. Inventories (as savings) | 1 2 |  | 1 2 |  |
| 6. Durable goods | 1 2 |  | 1 2 |  |
| 7. Land | 1 2 |  | 1 2 |  |
| 8. Loans to others | 1 2 |  | 1 2 |  |
| 9. Other | 1 2 |  | 1 2 |  |
| 10. Total |  |  |  |  |

9.3. During the past month (i.e. January 2011), have the cash balances held by the household increased or decreased or stayed the same?

1. Increased

2. Decreased

3. Stayed the same **Go to 9.5**

9.4. By how much have the cash balances changed during the past month (i.e. January 2011) Rs.\_\_\_\_\_\_\_\_\_\_

9.5 Have you applied for/received a loan during the past month (i.e. January 2011)

1. Yes **Go to Qn. 9.6**

2. No **Go to Qn. 9.7**

9.6 Please tell me about any such loans that you have applied for or received from any of the following lenders

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Loan Institution | (a).  Have  you applied  for a loan of  this type?  1.Yes  2.No **🡪 next**  **row** | (b).  What was the outcome of this application?  1. Received loan  2. Still waiting for a decision  3. rejected  4. other (specify)  **If answer is 2,3 or 4 go to next row** | (c).  How much did you ask for? | (d)  How much did you receive? | (e)  Details that you know about the loan | | | (f) What did you use the loan for? (**Use codes below)** |
| Annual interest rate (%) | Monthly installment | Loan period |  |
| 1. Private or government bank (eg. Sampath, BOC) | 1 2 | 1 2 3 4 …… |  |  |  |  |  |  |
| 2) Microfinance organization (eg.SEEDS, Cooperative Bank) | 1 2 | 1 2 3 4 …… |  |  |  |  |  |  |
| 3. Other formal bank (eg. RDB, NSB, Samurdhi) | 1 2 | 1 2 3 4 …… |  |  |  |  |  |  |
| 4 Moneylender | 1 2 | 1 2 3 4 …… |  |  |  |  |  |  |
| 5. Family and friends | 1 2 | 1 2 3 4 …… |  |  |  |  |  |  |
| 6. Other (specify)\_\_\_\_\_\_\_\_ | 1 2 | 1 2 3 4 …… |  |  |  |  |  |  |

**Codes for “f”:** 1. still held as cash; 2. deposited in bank account; 3. Spent on durable good for household; 4. Spent improvement to household (e.g., flooring); 5. Spent on consumption; 6. Used to repay a different loan; 7. Invested in gold jewelry; 8. Invested in working capital (inventories) for business or farm; 9. Spent on equipment or tools for business or farm; 10. Special events of the household; 11. Spent for trips and picnics; 12. Other (specify \_\_\_\_\_\_\_\_)

9.7. During the past month (i.e. January 2011), have you or anyone in your household purchased anything such as durable goods on credit from shops or other venders? (Do not include the daily needs that you buy from retail shops)

1. Yes **Go to 9.8**

2. No **Go to 9.9**

9.8. How much do you and other household members currently owe for credit received from stores or other venders?

Rs.\_\_\_\_\_\_\_\_\_\_\_\_\_

9.9. How much is the total income your household received from all the sources in January 2011? (Income earned from business includes only the business profits) Rs.\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**SECTION 11: HOUSEHOLD EXPENDITURE**

**Interviewer: Ask this Section from all respondents.**

11.1. In the past month of January 2011, how much in total did your household (including yourself) spend on each of the following? **Interviewer**: Include only the household expenses. Business expenses are not included)

|  |  |  |
| --- | --- | --- |
|  | **Expenditure Category** | **Expense (Rs)** |
| 1. | Food consumed at home |  |
| 2. | Food consumed outside the home (eg. restaurants, tea-shops, bought on street) |  |
| 3. | Non-durable household goods (eg. personal care products, soaps) |  |
| 4. | Recreation and entertainment (eg. movies, CD/VCD/DVD, trips) |  |
| 5. | Housing (rent, taxes, maintenance) |  |
| 6. | Fuel, water and light (eg. electricity, gas, firewood, kerosene, candles, matches) |  |
| 7. | Telephone (including land line and mobile) |  |
| 8. | Other household services (laundry, grinding, domestic servants) |  |
| 9. | Transport (including fuel for car/motor cycle, bus fares) |  |
| 10. | Schooling (include fees, books and other materials, uniforms, etc.) |  |
| 11. | Health expenditures (medicine, doctor/consultant fees) |  |
| 12. | Clothing (including clothes, footwear) |  |
| 13. | Jewelry and watches (eg. beads, bangles, bracelets and necklaces) |  |
| 14. | Household furnishings (eg. furniture, curtains) |  |
| 15. | Electronic goods and household appliances |  |
| 16. | Repairs to house and land (including garden related) |  |
| 17. | Travel to visit friends or family |  |
| 18 | Expenses on cigarette, beedi |  |
| 19. | Consumption of alcoholic drinks (eg. liquor, beer) |  |
| 20. | Hair Cuts / Hair dressing |  |
| 21. | Religious activities |  |
| 22. | Horse race betting |  |
| 23. | Ceremonies related to family members and relatives (eg. weddings, funerals) |  |
| 24. | Social activities |  |
| 25. | Repayment of loans/interests |  |
| 26. | Consumption of betel |  |
| 27. | Card playing for money |  |
| 28. | Expenses on lotteries |  |
| 29. | Expenses on books, newspapers and magazines |  |
| 30. | Other expenses (Specify)…………………………….. |  |
| 31. | Total expenses of last month |  |

Can you tell me about the total income earned by your household including yourself in the past month (i.e. January 2011)

11.2. Can you please tell me how much money you and your family members received from each of the following sources in January 2011

|  |  |
| --- | --- |
| **Source** | **Income (Rs)** |
| 1. In the past month (i.e. January 2011), how much income did your house hold earn from economic activity? (eg. wages from work, earnings from business, income earned from sales of agricultural crops) |  |
| 2. In the past month (i.e. January 2011), how much money did you receive as remittances from your relatives living in other areas of Sri Lanka(eg. children/siblings) and from your friends? |  |
| 3. In the past month (i.e. January 2011), how much money did you receive as remittances from your relatives (eg. children/siblings) and from your friends residing in abroad countries |  |
| 4. In the past month (i.e. January 2011), how much money did you and your house hold members receive from other sources (eg. government payment schemes such as Samurdhi, seetus/loans, etc.) |  |
| 5. Total |  |

Now I want to ask you about income earned/money received and expenditure incurred by you personally in the past (i.e. January 2011). Do not consider the income earned or expenses made by other members of the family

11.3. Can you tell me about income you received during the past month (i.e. January 2011) from each of the following sources?

|  |  |
| --- | --- |
| Source | Amount (Rs) |
| 1. In the past month (i.e. January 2011), how much income did you earn from economic activity? (eg. wages from work, earnings from your business, money withdrawn from your own bank account etc) |  |
| 2. In the past month (i.e. January 2011), how much money did you receive from your spouse or other household members? (eg. money given by HH members to purchase things for the household, loans given by HH members, other remittances from foreign countries) |  |
| 3. In the past month (i.e. January 2011), how much money did you receive from persons living outside the household or institutions? (eg. gifts or loans given by family members outside the home, money received from seetus, other transfers etc) |  |
| 4. Total |  |

11.3a This means that you received a total amount of Rs. **(mention total amount in 11.3.4)** duringthe past month (i.e. January 2011). Does this sound correct?

1. Yes  **Go to Qn. 11.4**

2. No  **Go to Qn. 11.3b**

11.3b If not, then what might be the correct amount of money received after including any other sources as well?

Rs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Now I would like to ask you about money given to other people in the past month (i.e. January 2011).

11.4. Can you tell me how much money you gave to each of the following in the past month of December 2010?

|  |  |
| --- | --- |
| Recipient | Amount (Rs) |
| 1. Money given to spouse (for expenditures, savings etc) |  |
| 2. Money given to children (for transport to school, food etc) |  |
| 3. Money given to other members of the household |  |
| 4. Money given to members outside the household (e.g. as gifts etc) |  |
| 5. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |
| 6. Total |  |

11.4a. This means that you gave a total amount of Rs (mention total amount in 11.4.6) during the past month (i.e. January 2011). Does this sound correct?

1. Yes  **Go to Qn. 11.5**

2. No  **Go to Qn. 11.4b**

11.4b If not, then what might be the correct amount of money given after including any other recipients as well?

Rs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

11.5 **Interviewer: Subtract the total in 11.4.6 (if incorrect, then 11.4b) from the total in 11.3.4 (if incorrect, 11.3b) and write the answer here.**

Rs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

11.6 Then, after deducting the total amount of money given to others from the total amount of money received, you had Rs **(mention total amount in 11.5)** remaining for saving or spending in the past month (i.e. January 2011). Can you tell me how much money you saved using the following methods?

|  |  |
| --- | --- |
| Saving Method | Amount (Rs) |
| 1. Saving in an institution such as a bank |  |
| 2. Retain as cash in hand |  |
| 3. Deposit in a seetu |  |
| 4. Savings in another form (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |
| 5. Total |  |

11.7. **Interviewer: Subtract the total in 11.6.5 from 11.5 and write the answer here.** Rs \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

11.8 Then, after deducting the total amount of money saved by you, you personally had Rs (*mention total amount in 11.7)* remaining for spending in the past month (i.e. January 2011). Can you tell me how much money you personally spent on each of the following?

**Interviewer: Include only the expenses the respondent personally spent. Do not include day-to-day business expenses**

|  |  |  |
| --- | --- | --- |
|  | **Expenditure Category** | **Expense (Rs)** |
| 1. | Food consumed at home |  |
| 2. | Light food items consumed outside the home (e.g. short eats, snacks, tea etc.) |  |
| 3. | Other food consumed outside the home (at restaurants, hotels etc) |  |
| 4. | Non-alcoholic beverages consumed outside the home (e.g. soft drinks such as sprite, coca cola etc) |  |
| 5. | Bus fares |  |
| 6. | Three wheelers / taxi fares |  |
| 7. | Clothing (including clothes, footwear) |  |
| 8. | Jewelry and watches (e.g. beads, bangles, bracelets and necklaces) |  |
| 9. | Telephone (including land line and mobile) |  |
| 10. | Cigarettes and Tobacco (including Beedi) |  |
| 11. | Beer and other alcoholic beverages |  |
| 12. | Hair Cuts / Hair dressing |  |
| 13. | Personal care products (e.g. perfumes, creams, shampoo etc.) |  |
| 14. | Religious activities (e.g. visits to temple) |  |
| 15. | Parties and other celebrations |  |
| 16. | Betting at Horse Races / Turf Accountants |  |
| 17. | Travel to visit friends and family |  |
| 18. | Expenditure on weddings / funerals and other such family events |  |
| 19. | Social activities / festivals / ceremonies |  |
| 20. | Housing (rent, taxes, maintenance) |  |
| 21. | Fuel, water and light (eg. electricity, gas, firewood, kerosene, candles, matches) |  |
| 22. | Other household services (laundry, grinding, domestic servants) |  |
| 23. | Schooling (include fees, books and other materials, uniforms, etc.) |  |
| 24. | Health expenditures (medicine, doctor/consultant fees) |  |
| 25. | Household furnishings (eg. furniture, curtains) |  |
| 26. | Electronic goods and household appliances |  |
| 27. | Repairs to house and land (including garden related |  |
| 28. | Repayment of loans/interests |  |
| 29. | Consumption of betel |  |
| 30. | Card playing for money |  |
| 31. | Expenses on lotteries |  |
| 32. | Expenses on books, newspapers and magazines |  |
| 33. | Other (Specify)\_\_\_\_\_\_\_\_\_ |  |
| 34. | Total expenses of last months |  |

**SECTION 12: HOUSEHOLD AND BUSINESS SHOCKS**

**Interviewer: Ask this Section from all respondents.**

In the past month (i.e. January 2011), has your household or business been affected by any of the following events? **(Interviewer: Firstly, obtain yes/no answers for the full list of household/business related events. Then obtain more information on the events for which the respondent answered yes).**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Event | (a). Did your HH or business experience such an event?  1.Yes  2. No  **If No, go to next row** | (b). Who else was affected by this event? **(see codes)** | (c). Did you borrow money or receive funds from anyone to help you deal with this event?  1. Yes  2. No  **If No, go to column (e)** | (d). If yes, from whom did you borrow money or receive funds? **(see codes)** | (e). What was the most important step that you took in response to this event to try to re-gain your former welfare level? |
| 1. | Lower crop yields due to drought or floods | 1 2 |  | 1 2 |  |  |
| 2. | Crop disease or pests which affected crops | 1 2 |  | 1 2 |  |  |
| 3. | Livestock died or were stolen | 1 2 |  | 1 2 |  |  |
| 4. | Failure / Loss in non-agricultural self employment | 1 2 |  | 1 2 |  |  |
| 5. | Loss of salaried employment or non-payment of salary | 1 2 |  | 1 2 |  |  |
| 6. | Ending of regular assistance, aid, or remittances from outside HH | 1 2 |  | 1 2 |  |  |
| 7. | Large fall in sale prices for crops | 1 2 |  | 1 2 |  |  |
| 8. | Large rise in price of food | 1 2 |  | 1 2 |  |  |
| 9. | A breakdown of a major household asset (e.g. vehicle, oven, washing machine) which required replacement or a substantial cost to repair? | 1 2 |  | 1 2 |  |  |
| 10. | Illness or accident of household member | 1 2 |  | 1 2 |  |  |
| 11. | Birth in the household | 1 2 |  | 1 2 |  |  |
| 12. | Death of HH head | 1 2 |  | 1 2 |  |  |
| 13. | Death of working member of household | 1 2 |  | 1 2 |  |  |
| 14. | Death of other household member | 1 2 |  | 1 2 |  |  |
| 15. | Death of other family member | 1 2 |  | 1 2 |  |  |
| 16. | Migration of household member to another country | 1 2 |  | 1 2 |  |  |
| 17. | Migration of household member to another district in SL | 1 2 |  | 1 2 |  |  |
| 18. | End of marriage | 1 2 |  | 1 2 |  |  |
| 19. | Theft of HH belongings | 1 2 |  | 1 2 |  |  |
| 20. | House damaged or destroyed | 1 2 |  | 1 2 |  |  |
| 21 | Had to leave the residence/business place due to risk of natural disaster(Eg: earth slide, flood) | 1 2 |  | 1 2 |  |  |
| 22 | Had to leave residence /business place on the orders of government authorities | 1 2 |  | 1 2 |  |  |
| 23. | Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 1 2 |  | 1 2 |  |  |

**Codes for 12b**: 1=Own HH only, 2=Some other HHs including own HH, 3=Most HHs in the area, 4=All HHs in the area

**Codes for 12d**: 1=Your parents, 2=Your spouse’s parents, 3=Your siblings, 4= Your spouse’s siblings, 5=Your adult children, 6=Your grandparents, 7=Other relatives, 8=Friends, 9=Neighbors, 10=Fellow seetu members, 11=Clubs/Associations, 12=Insurance agencies, 13=Financial institutions such as banks, 14=Other(specify)

**SECTION 16: INTERVIEWER IMPRESSIONS**

**Interviewer: Please answer the following questions after completing the interview without consulting the respondent.**

16.1 Who else other than the respondent was present during the interview? (MA)

1. Nobody
2. The spouse
3. Other adult household member
4. Other adults from outside the household
5. A child 5 years of age or younger
6. A child older than 5 years of age
7. An employee
8. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Excellent** | **Good** | **Not so good** | **Very bad** |
| What is your impression of how well the respondent understood the questions asked? | 1 | 2 | 3 | 4 |
| What is your impression of the seriousness with which the respondent answered the questions? | 1 | 2 | 3 | 4 |
| What is your overall impression of the preciseness / accuracy with which the questions were answered? | 1 | 2 | 3 | 4 |

16.2

16.3

16.4

16.5 Which questions were most difficult or troubling for the respondent? (Mark section or question number)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

16.6. Which questions were most difficult or troubling for you to explain? (Mark section or question number)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

16.7. Which questions interested the respondents the most?

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* 1. The survey was conducted at

1. Home 2. Business site 3. Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Thank the respondent and terminate interview.**

Additional Remarks:---